



# Molecular Characterization of Breast Cancer: The Clinical Significance

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# The Plan

- **To present an overview of molecular characterization of breast cancer and its impact on clinical care and patient outcome**

# Molecular Characterization of Breast Cancer

## The Way We Were

- Pathology diagnosis was based on
  - Gross examination
  - Light microscopy
- The most common diagnosis was:
  - Undifferentiated malignant neoplasm

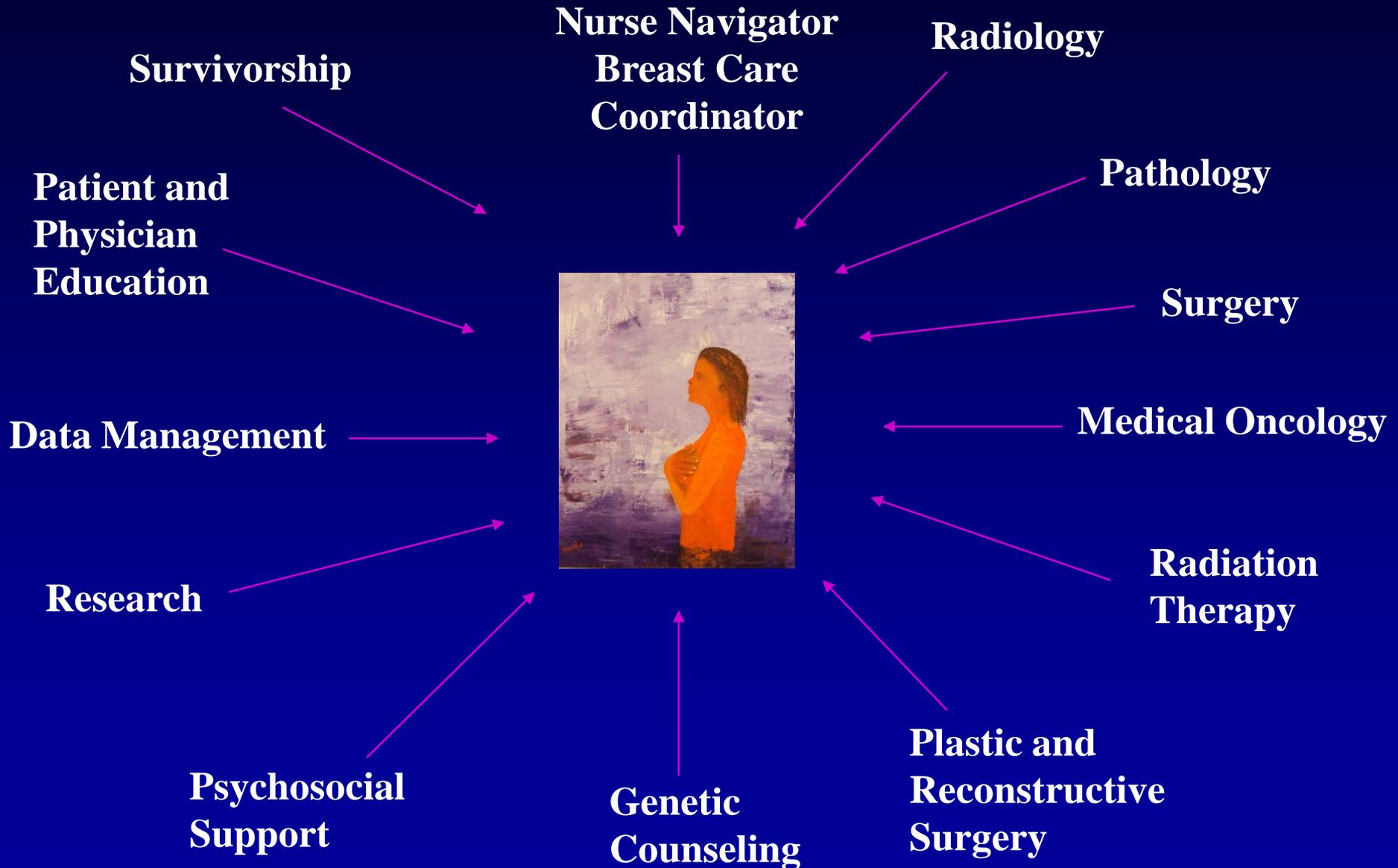


# **Molecular Characterization of Breast Cancer**

## **Recent Advances**

- The emergence of new technologies**
- Molecular characterization of tumors**
- Stratification of patients for therapy based on tumor characteristics**
- A paradigm shift in patient care**

# Integration of Breast Health Services



# Molecular Characterization of Breast Cancer

## Changing Role of Pathologists

- Establish a diagnosis
- Classify a neoplasm
- Differentiate between a primary versus a metastatic tumor
- Predict a response to therapy
- Provide a prognosis



# Molecular Characterization of Breast Cancer

## Prognosis: Treatment Planning

- Tumor size/type
- Histologic grading
- Lympho-vascular invasion
- Lymph node status
- Status of surgical margins
- Presence or absence of ductal carcinoma in situ
- Multicentricity/multifocality
- Presence or absence of nipple involvement and ulceration

# Molecular Characterization of Breast Cancer

## Prediction of Response to Therapy

- Assessment of the status of expression of estrogen and progesterone receptors
  - Endocrine therapy
- Assessment of the pattern of expression/gene amplification of Her-2/neu oncogene
  - Herceptin therapy
- Assessment of new genetic molecular pathways
  - Molecular/adjuvant chemotherapy

# **Molecular Characterization of Breast Cancer**

## **The Significance of Accurate Prognostic/Predictive Testing**

- Selection of those patients who will most likely benefit from systemic therapy**
- Offering personalized medicine with greater safety and effectiveness**
- Providing affordable and cost-effective care**

# **Molecular Characterization of Breast Cancer**

## **The Issue**

- Up to 30 % of women with node negative breast cancer die of the disease regardless of adjuvant therapy**
- Up to 70% survive without adjuvant therapy**
- Heterogeneity in breast cancer can not be captured by traditional prognostic factors**

# **Molecular Characterization of Breast Cancer**

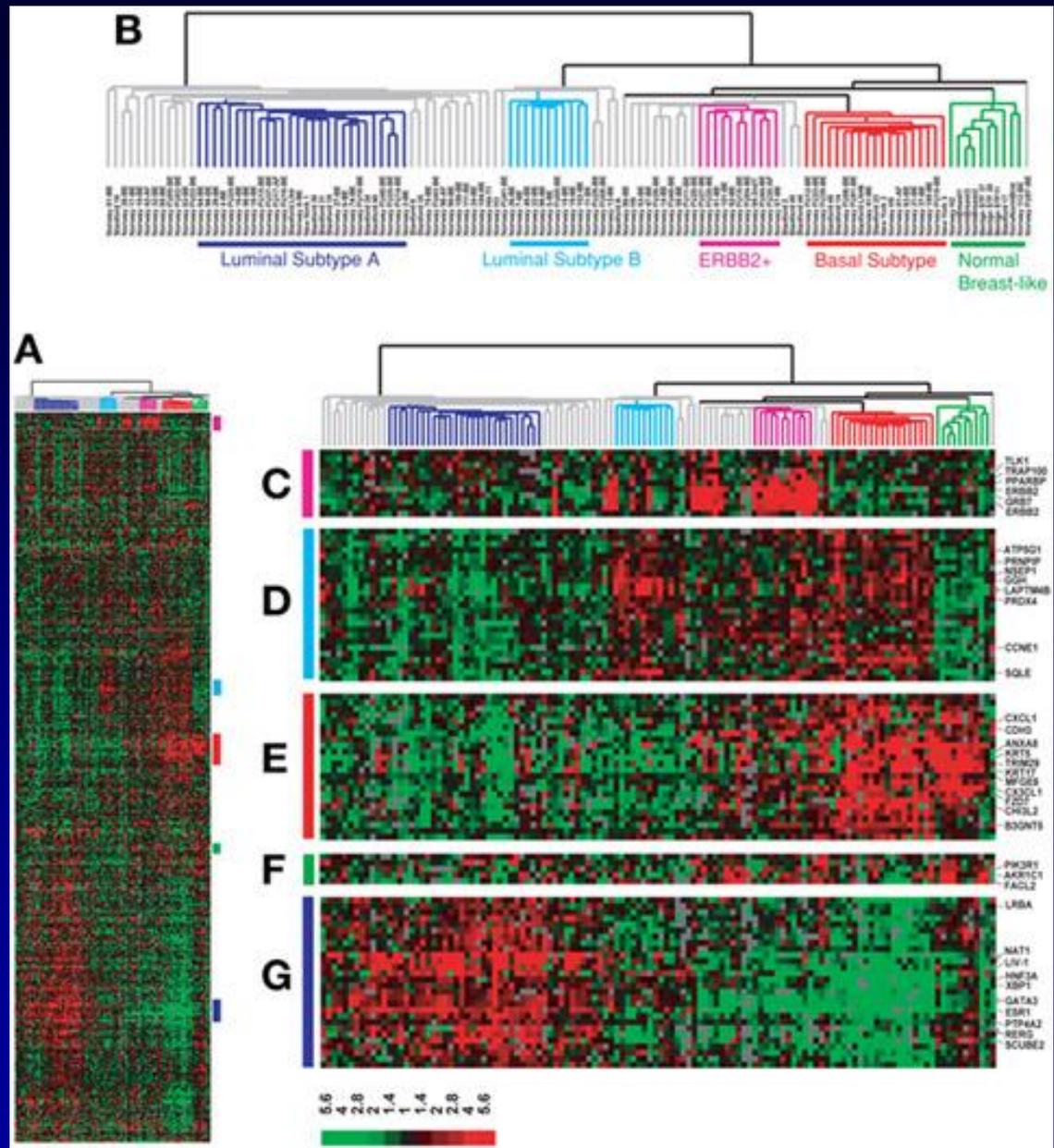
## **The Rationale**

- Better definition of the biological complexity of breast cancer**
- Development of more sophisticated and sensitive testing to better stratify patients for systemic therapy**

# Molecular Characterization of Breast Cancer

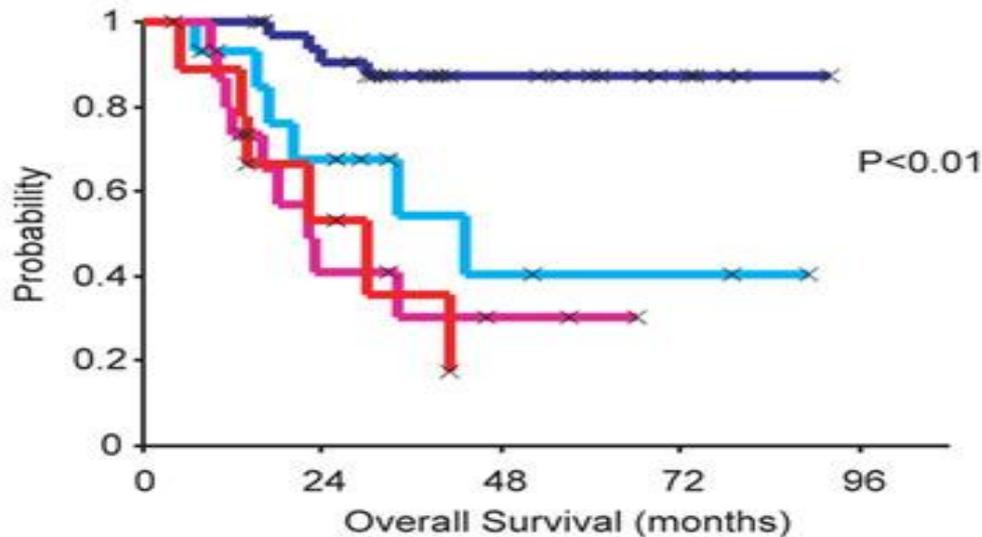
## The Process

- Gene expression profiling provided an opportunity to classify tumors at a genomic level into subclasses of potential prognostic and predictive significance



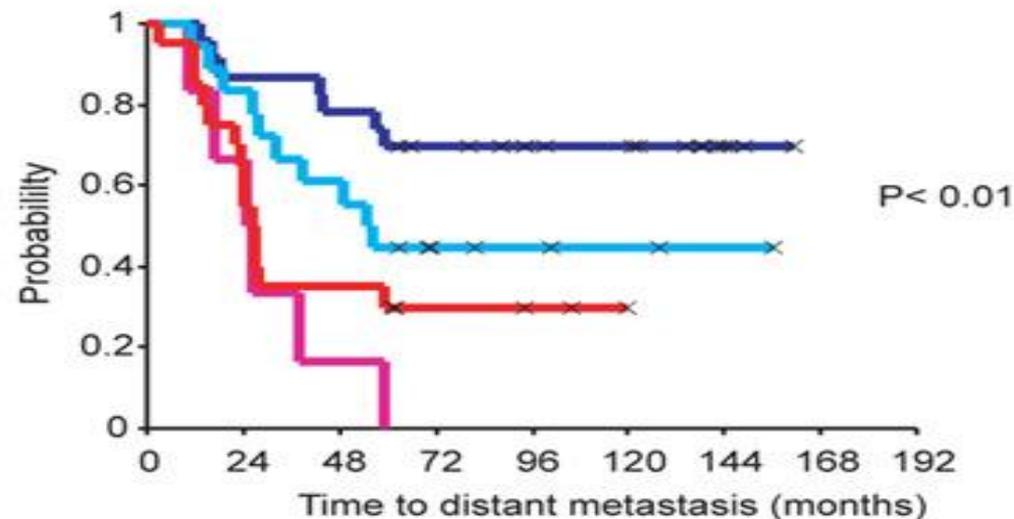
Sorlie T: Molecular Classification of Breast Tumors. *Methods in Molecular Biology* 2007;360:91-114

### A Norway/Stanford data set



× Censored, — Luminal A, — Luminal B, — Basal, — ERBB2+

### B van't Veer data set



## Kaplan-Meier analysis of disease outcome in two patient cohorts

(A) Overall survival for 72 patients with locally advanced breast cancer in the Norway cohort. The normal-like tumor subgroups were omitted from both data sets in this analysis.

(B) Time for development of distant metastasis in 97 sporadic cases.

# **Molecular Characterization of Breast Cancer**

## **Breast Cancer is a Family of Diseases**

- ER+ (Luminal A) (56%-61%)**
- ER+ (Luminal B) (9%-16%)**
- Her-2/neu + (8%-16%)**
- Basal-like/Triple negative (8%-20%)**
- Unclassified/normal breast-like (6%-10%)**

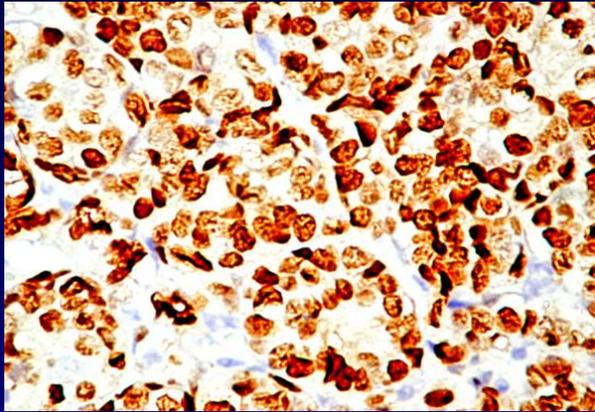
# **Molecular Characterization of Breast Cancer**

## **Luminal Subtypes**

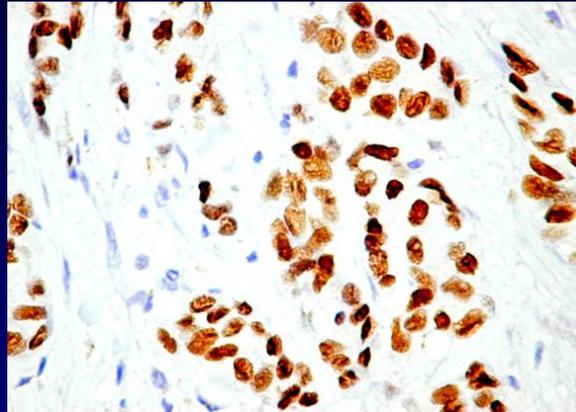
- Luminal A/B – generally carry a good prognosis and show a favorable response to endocrine therapy**
- Luminal A – better prognosis than type B**
- Luminal B – show a moderate expression of gene expressed by the breast luminal cells, higher proliferation rate and lower progesterone receptor**

# ER+ Luminal Type

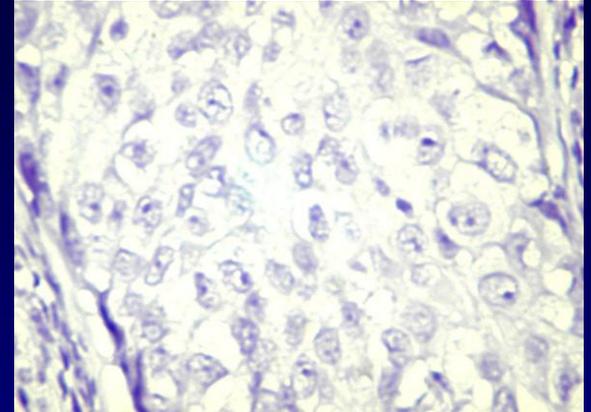
A



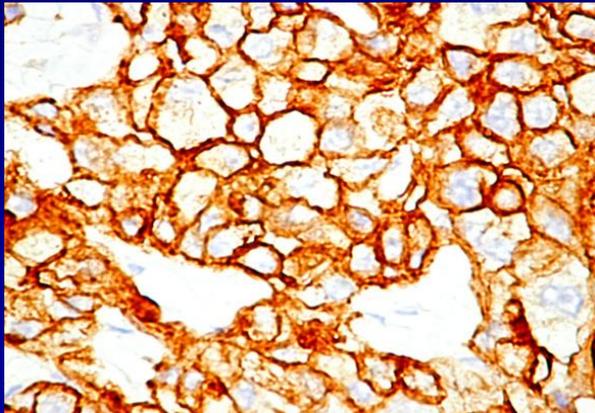
B



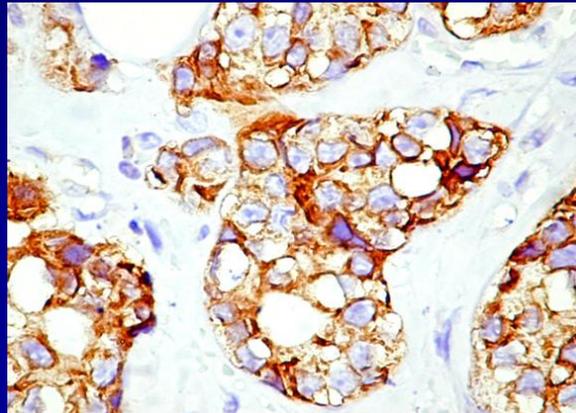
C



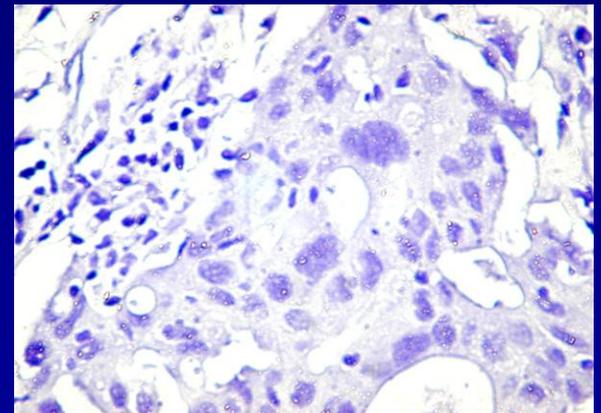
D



E



F



**Immunohistochemical profile of luminal A subtype. ER positive (A), PR positive (B), HER2 negative ©, CK8/18 positive (D), Bcl-2 positive (E), and EGFR negative (F)**

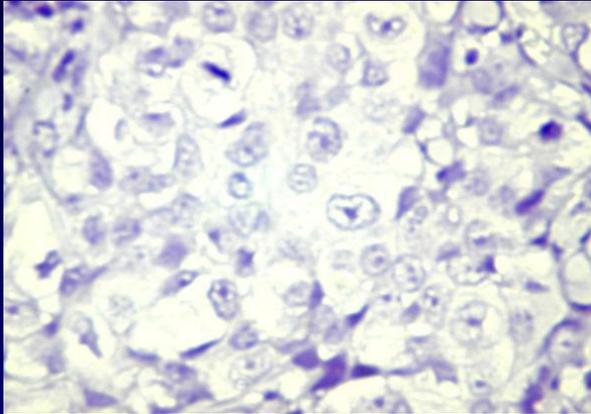
# Molecular Characterization of Breast Cancer

## Her-2/neu + Type

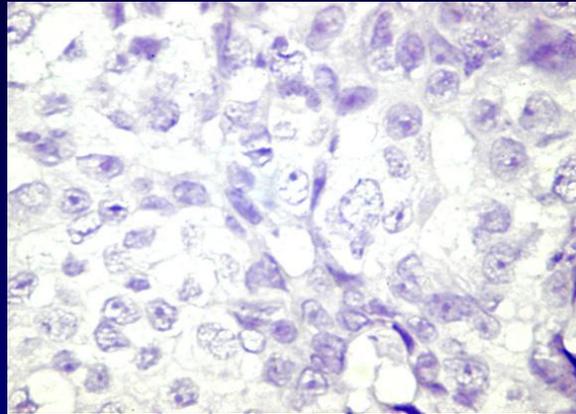
- Presents as two distinct forms
  - ER-
  - ER+
- Frequently associated with DCIS
- Associated with poor prognosis

# Her-2/neu + Type

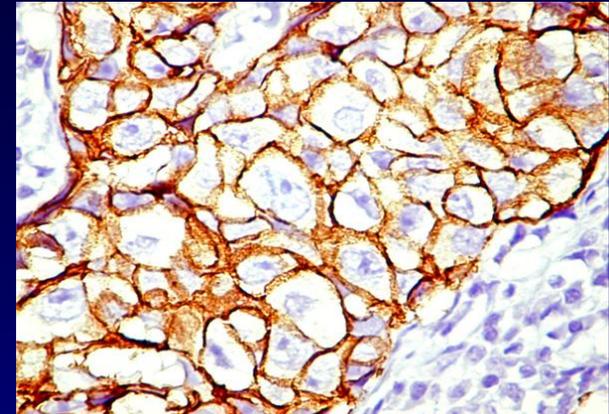
A



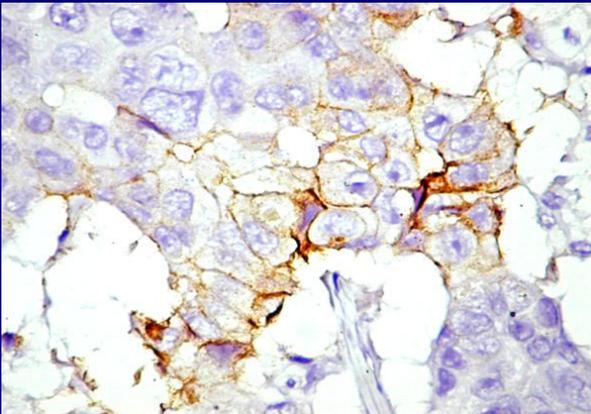
B



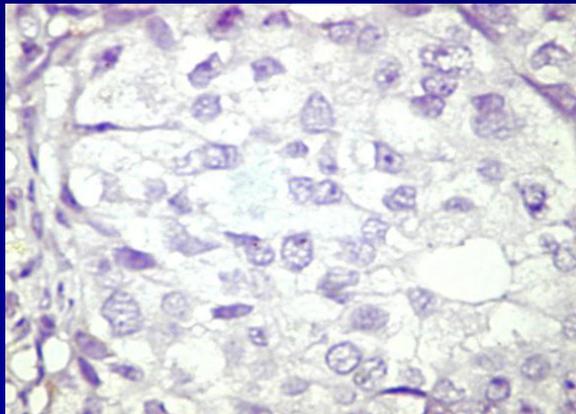
C



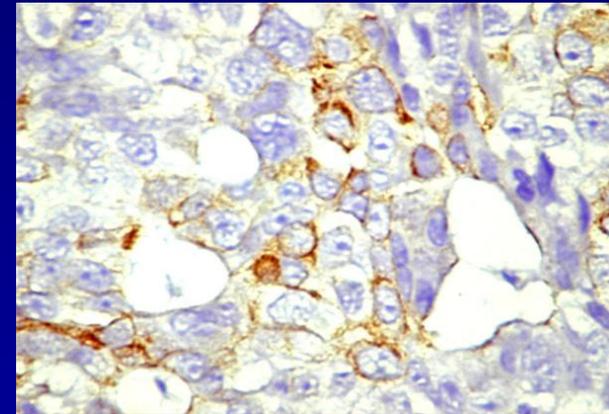
D



E



F



**Immunohistochemical profile of HER2 subtype. ER negative (A), PR negative (B), HER 2 positive +3 ©, EGFR focal positive (D), CK5 negative (E), CK8/18 heterogeneous and moderate positive (F)**

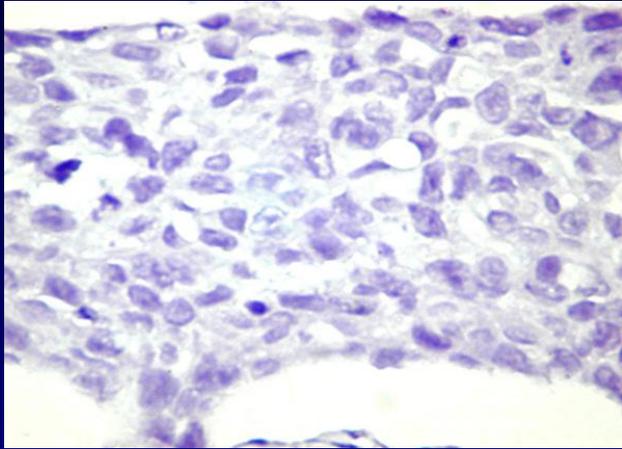
# Molecular Characterization of Breast Cancer

## Basal-Like Breast Cancers

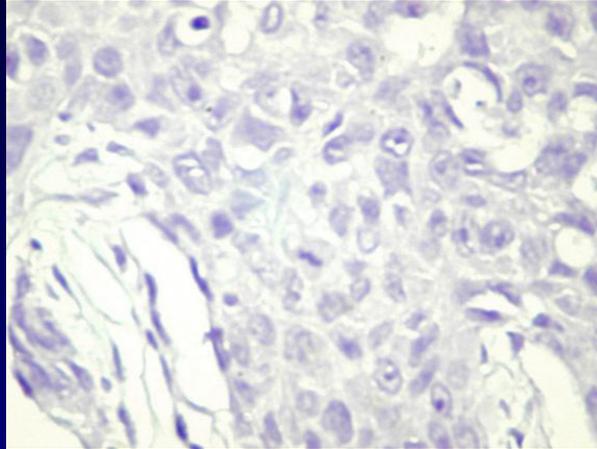
- No internationally accepted definition
- Triple negative phenotype (ER-, PR-, and HER2-/neu-)
- Expression of high molecular weight cytokeratin (CK 5/6, CK 14, CK 17), EGFR, CKIT, P63, E-Cadherin, SMA

# Molecular Characterization of Breast Cancer

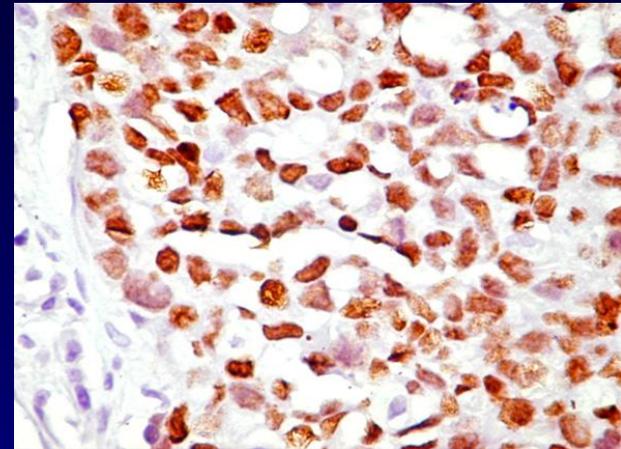
A



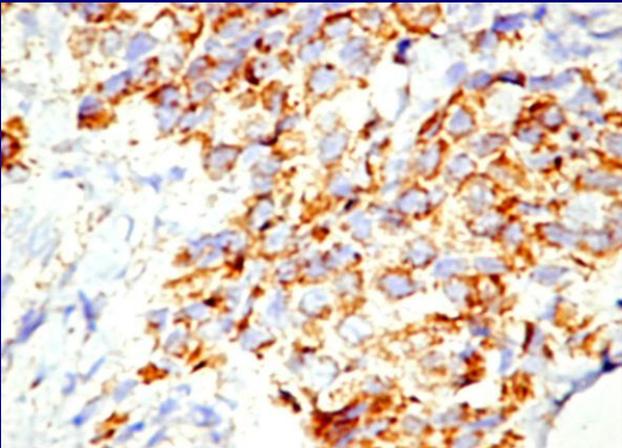
B



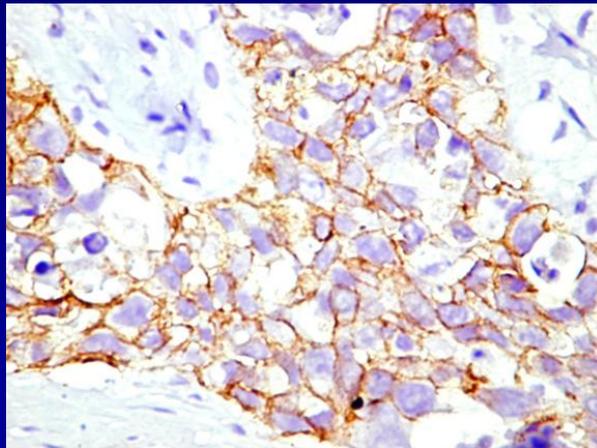
C



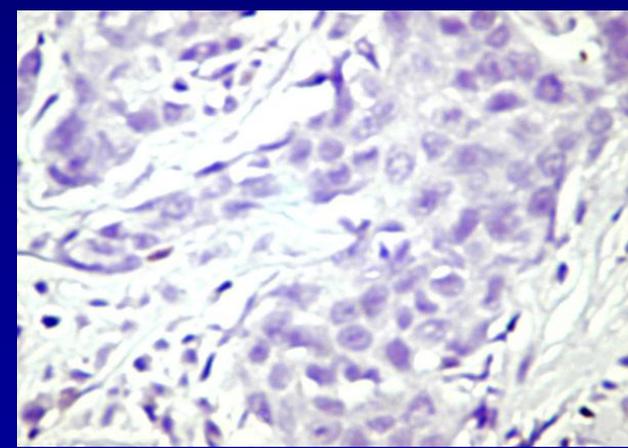
D



E



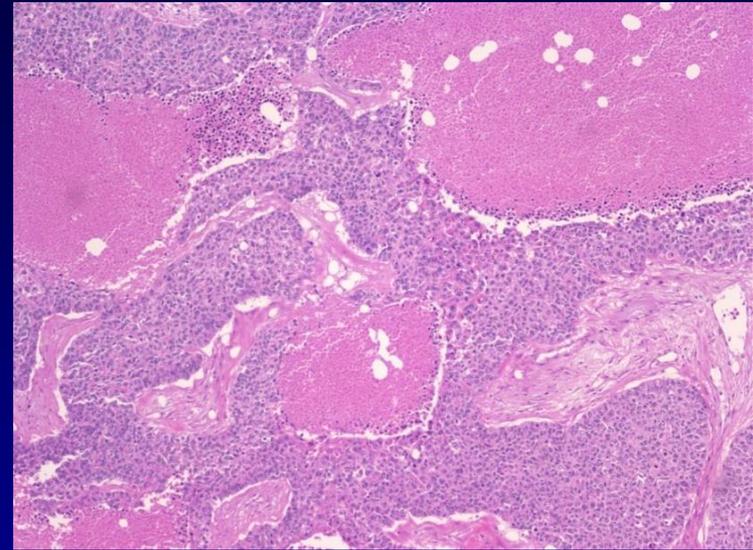
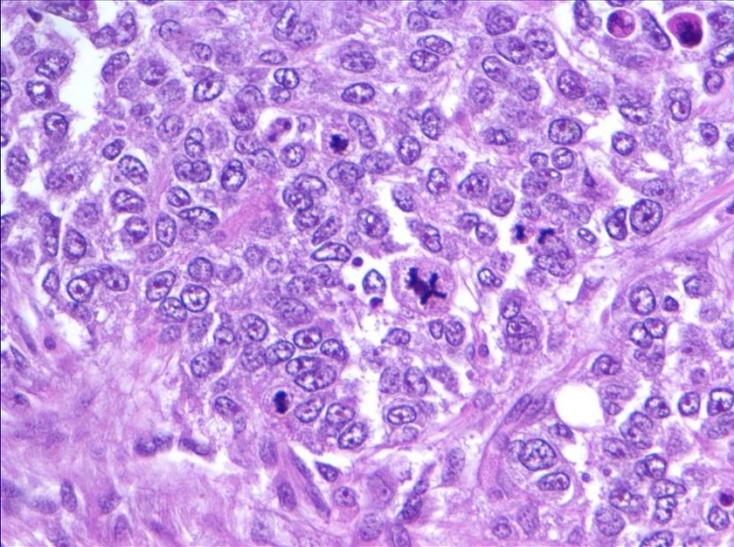
F



**Immunohistochemical profile of basal-like breast carcinoma. ER negative (A), HER2 negative (B), p53 positive (C), CK5 positive (D), EGFR positive (E), and Bcl-2 negative (F)**

# Basal-Like Breast Cancers

## Morphologic Features



- High mitotic rate
- Geographic, central necrosis
- Lymphoplasmacytic infiltrate with the medullary carcinoma - like features

# **Molecular Characterization of Breast Cancer**

## **Biology of Basal-Like Breast Carcinoma**

- Account for 10%-20% of all breast cancer**
- More frequently affects younger patients**
- More prevalent in African American women**
- More prevalent in those with germline BRCA-1 mutation carriers**
- Are biologically more aggressive**
- Have a unique metastatic pattern**
- Majority of death occurs in the first 5 years after primary treatment**

# **Molecular Characterization of Breast Cancer**

## **Triple Negative Breast Cancer**

- Triple-negative tumors represent the majority of cancers within the basal-like subtype**
- Not all triple negative breast cancers display the basal-like phenotype and vice versa**
- Currently no specific targeted approach is available for triple negative tumors**

# Molecular Characterization of Breast Cancer

## Possible Molecular Targeted Therapies for the Treatment of Triple Negative Breast Cancer

<b>Molecular Targets</b>	<b>Agents tested in clinical phase trials</b>
<b>EGFR</b>	Anti-EGFR antibody: cetuximab EGFR tyrosine kinase inhibitor: erlotinib
<b>c-kit</b>	Multiple tyrosine kinase inhibitors: imatinib, sunitinib
<b>Src</b>	Multiple tyrosine kinase inhibitors: dasatinib
<b>mTOR</b>	mTOR inhibitor: everolimus

*Kurebayashi J: Possible treatment strategies for triple-negative breast cancer on the basis of molecular characteristics. Breast Cancer 16:275-280, 2009.*

# **Molecular Characterization of Breast Cancer**

## **Current Status**

- Patients with hormone receptor positive, Her-2/neu oncogene negative tumors benefit from adjuvant hormone therapy**
- Patients with Her-2/neu positive tumors, any ER/PR or menopausal status derive major benefits from the administration of Herceptin® therapy in combination with chemotherapy**

# **Molecular Characterization of Breast Cancer**

## **Current Status**

- There is a need to develop additional forms of systemic therapy for those tumors that fail to express hormone receptors and/or Her-2/neu oncogene**
- It is essential to search for factors that can better stratify patients for systemic therapy**

# Molecular Characterization of Breast Cancer

## Assessment of New Genetic Pathways

- MammaPrint 70-gene assay (Agendia BV, Amsterdam, the Netherlands)
- *Oncotype DX* 21-gene assay (Genomic Health, Redwood City, California)
- H/I (AvariaDX, Carlsbad, California)
- Others.....

# MammaPrint

<u>Biological Function</u>	<u>MammaPrint Gene Count</u>
Metabolism	7
Cell cycle and DNA replication	12
Extracellular matrix adhesion and remodeling	5
Growth, proliferation, transformation and cell death	17
General signal transduction and intracellular transport	3
Growth factor	7
Motility or actin filament related	5
Intracellular hydrolase	1
Immune response	1
Neuropeptide	1
Predicted transmembrane protein with unknown function	2
Predicted transcriptional control or DNA binding proteins	5
Unknown function	4
<b>Total Gene Count</b>	<b>70</b>

# Dutch Health Care Insurance Board (CVZ)/ NKI 'Raster trial'

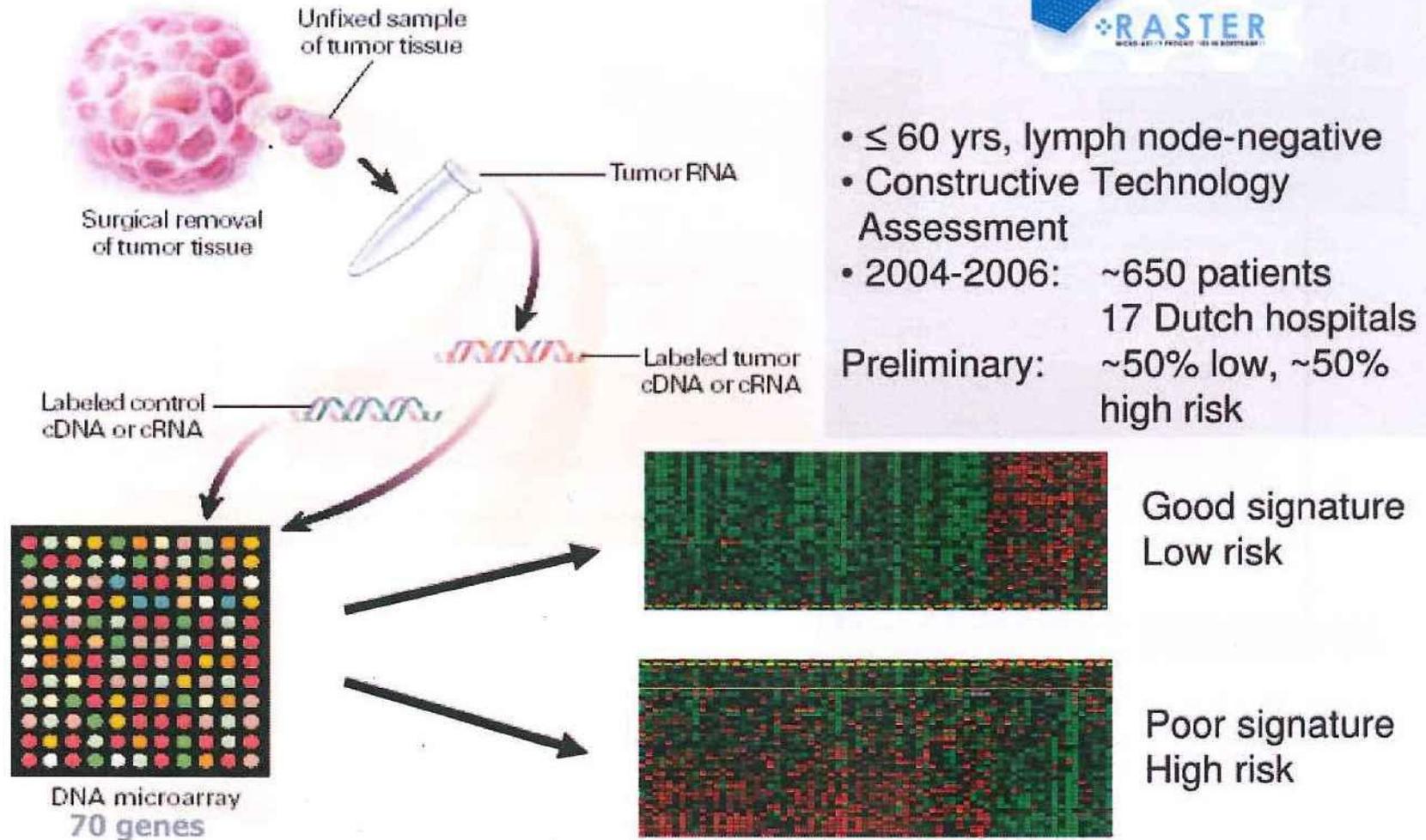
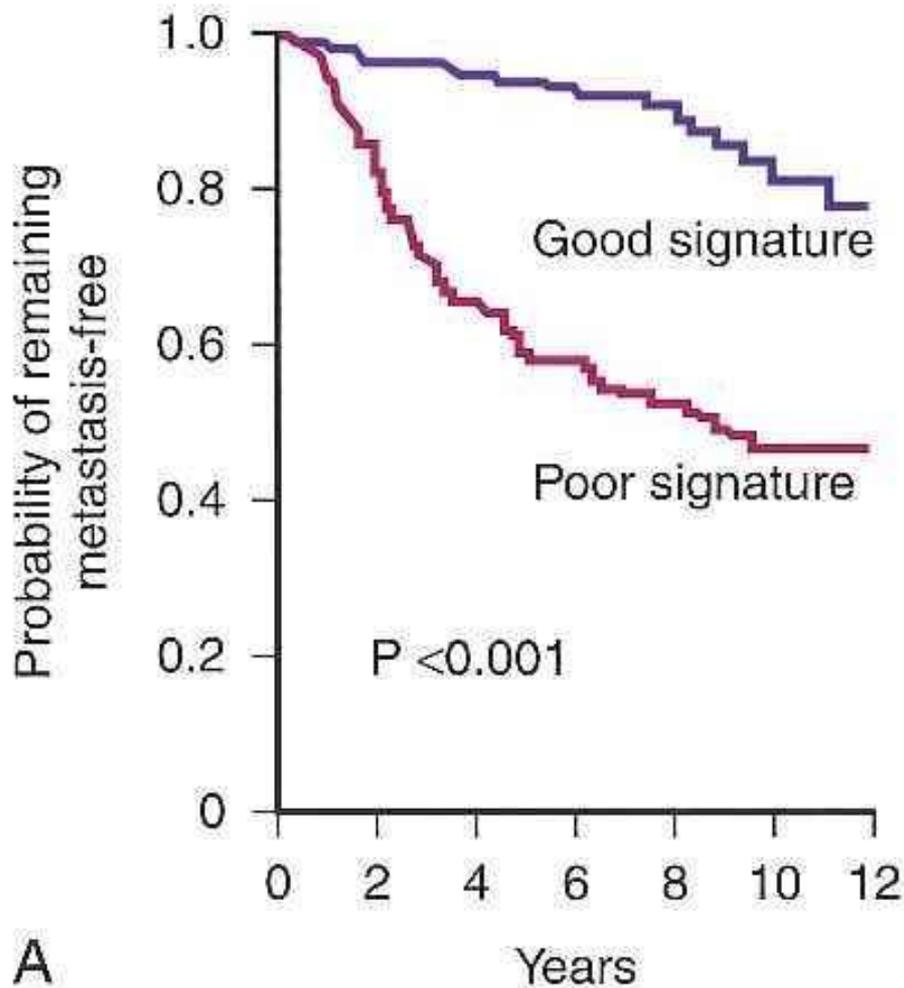


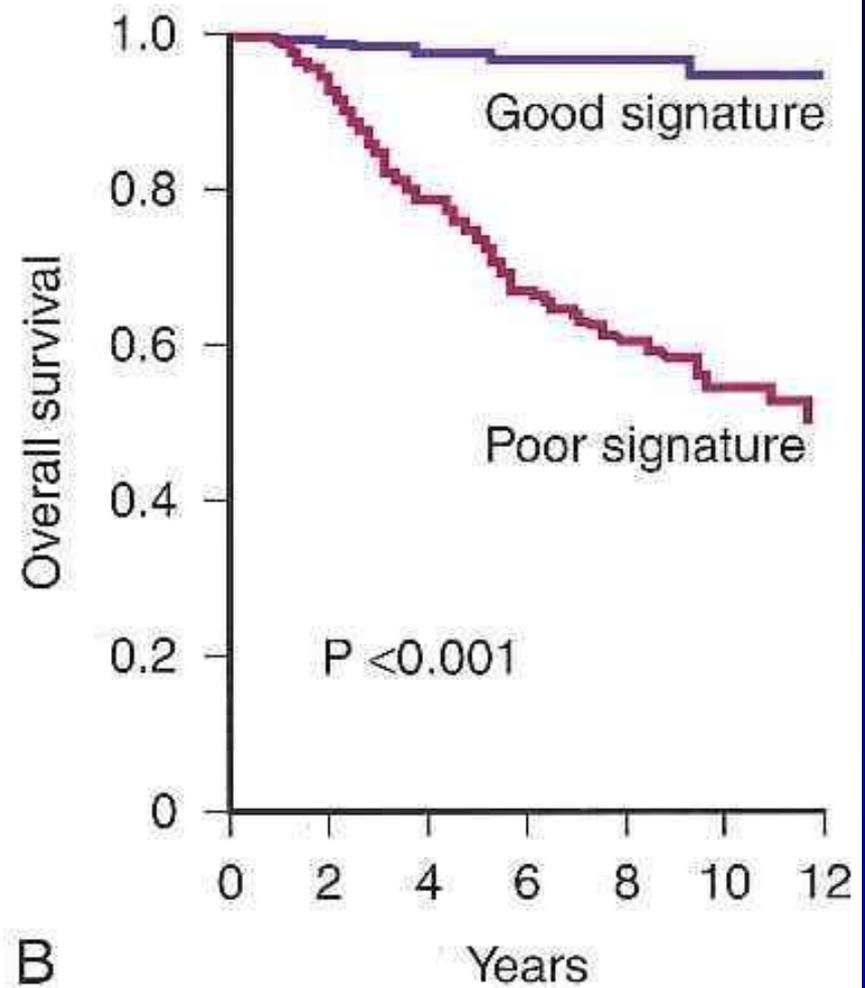
Figure 1. RASTER study design. From Sauter G and Simon R. Predictive Molecular Pathology. *N Engl J Med* 347(25): 1995-1996, 2002. Copyright © 2002 Massachusetts Medical Society. All rights reserved. Adapted with permission, 2007.

ALL PATIENTS



A

ALL PATIENTS



B

*Validation of the 70-gene classifier. A and B, kaplan-Meier analysis of the probability that a patient would remain free of distant metastases and the probability of overall survival among all patients.*

# Oncotype DX<sup>®</sup> 21-Gene Recurrence Score (RS) Assay

16 Cancer and 5 Reference Genes From 3 Studies

## PROLIFERATION

Ki-67  
STK15  
Survivin  
Cyclin B1  
MYBL2

## ESTROGEN

ER  
PR  
Bcl2  
SCUBE2

**GSTM1**

**BAG1**

## INVASION

Stromelysin 3  
Cathepsin L2

**CD68**

## Her2

GRB7  
Her2

## REFERENCE

Beta-actin  
GAPDH  
RPLPO  
GUS  
TFRC

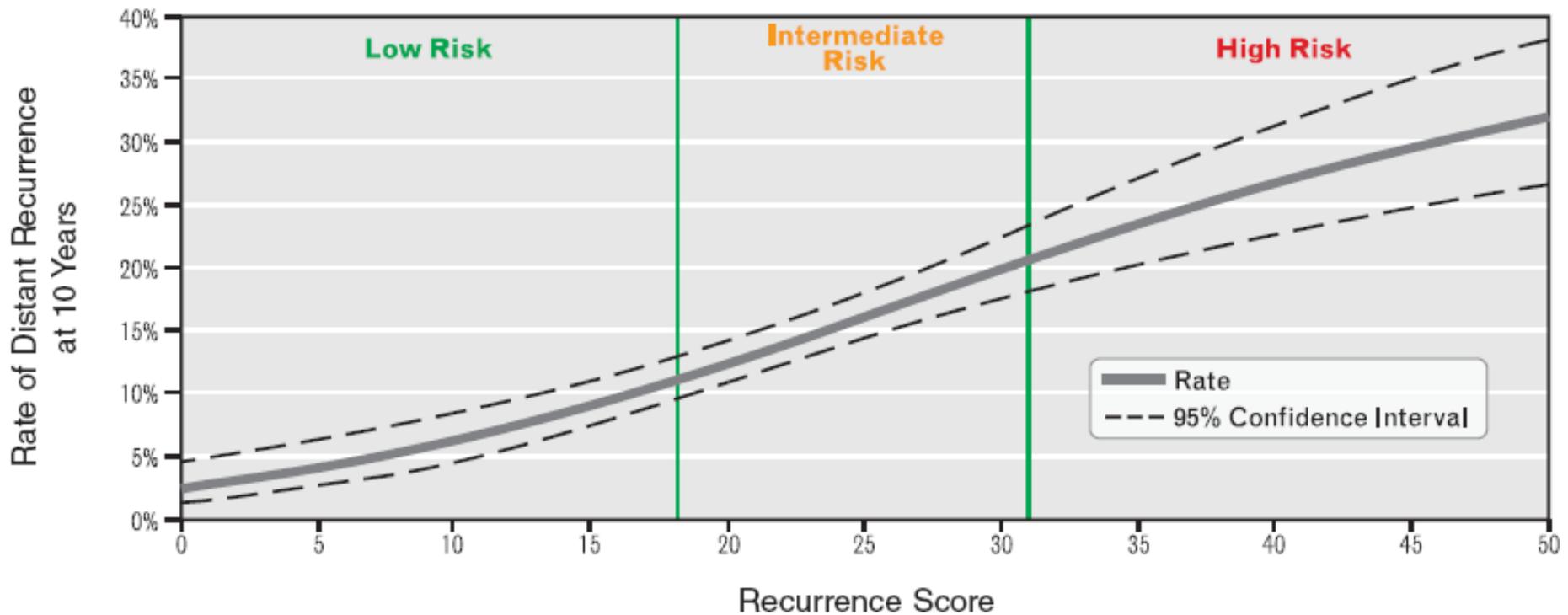
$$\begin{aligned}
 \text{RS} = & + 0.47 \times \text{Her2 Group Score} \\
 & - 0.34 \times \text{ER Group Score} \\
 & + 1.04 \times \text{Proliferation Group Score} \\
 & + 0.10 \times \text{Invasion Group Score} \\
 & + 0.05 \times \text{CD68} \\
 & - 0.08 \times \text{GSTM1} \\
 & - 0.07 \times \text{BAG1}
 \end{aligned}$$

Category	RS (0 -100)
Low risk	RS <18
Int risk	RS 18 - 30
High risk	RS ≥ 31

*Paik et al. N Engl J Med. 2004;351:2817-2826.*

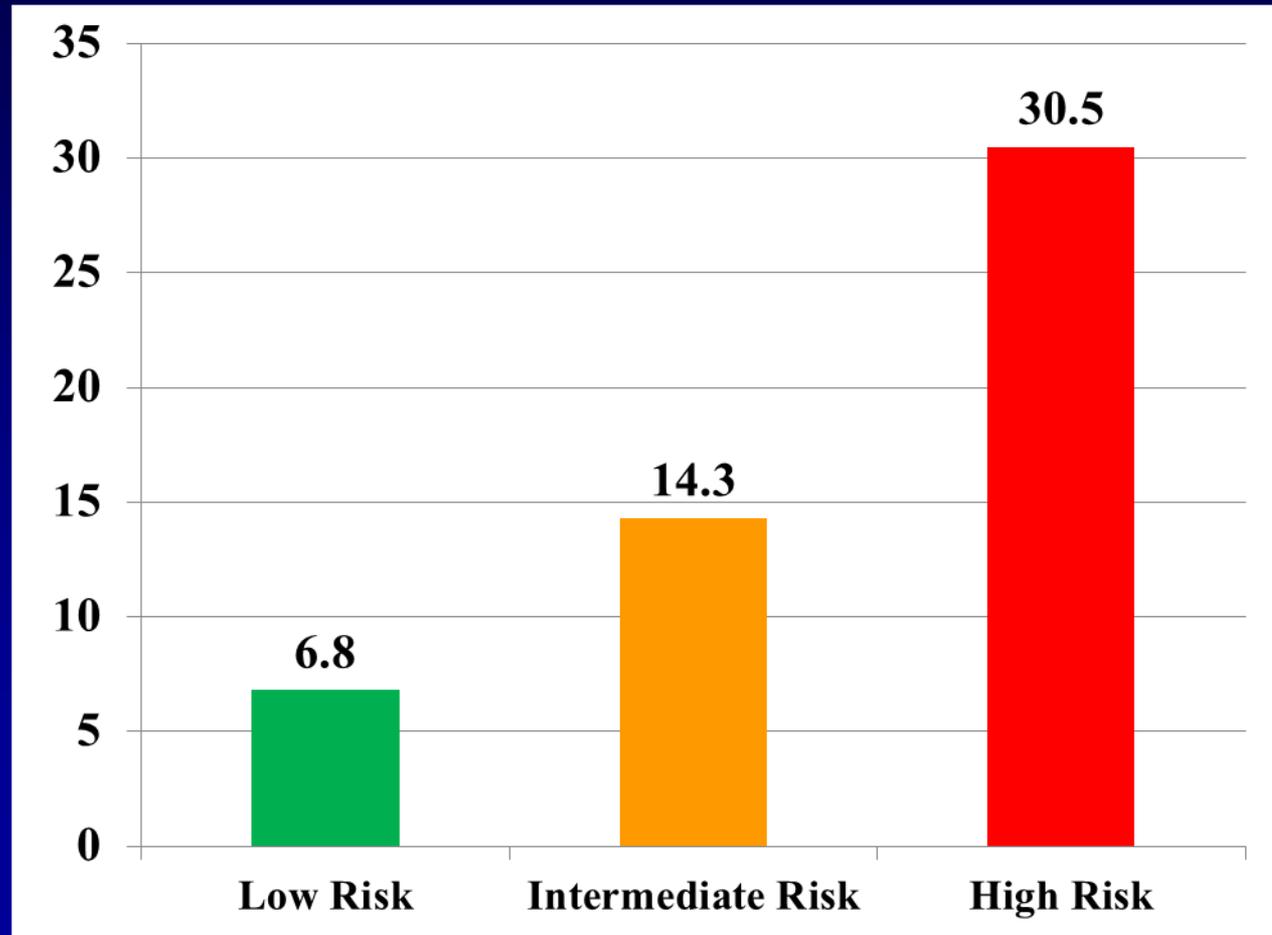
# Molecular Characterization of Breast Cancer

Recurrence Score as Continuous Predictor



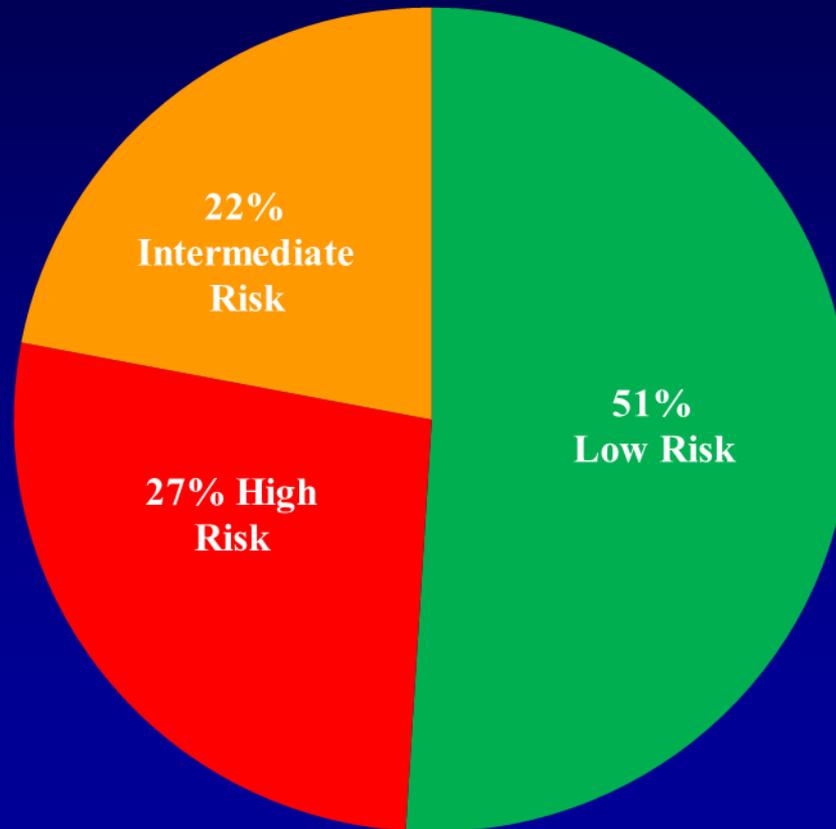
# Rate of Distant Recurrence at 10 Years by *Oncotype DX* Risk Group in the Clinical Validation Study

Rate of Distant Recurrence  
at 10 Years



# Population Distribution by *Oncotype DX* Risk Group in the Clinical Validation Study

Rate of Distant Recurrence  
at 10 Years



# **Molecular Characterization of Breast Cancer**

## **Current Clinical Trails**

### **○ TAILOR<sub>x</sub>**

- Trail Assigning Individualized Options for Treatment**

### **○ MINDACT**

- Microarray In Node-Negative Disease May Avoid Chemotherapy Trial**

### **○ Rx PONDER**

- Rx for Positive Node Endocrine Response Breast Cancer**

# Comparison of the TAILORx, MINDACT, and RxPONDER trials

<u>Trial/Characteristic</u>	<u>TAILORx</u>	<u>MINDACT</u>	<u>RxPONDER</u>
<b>Coordinating group</b>	<b>ECOG</b>	<b>EORTC</b>	<b>SWOG</b>
<b>Design</b>	<b>Prospective, randomized, open-label trial</b>	<b>Prospective, randomized, open label trial</b>	<b>Prospective, randomized, open-label trial</b>
<b>No. of patients registered/randomized</b>	<b>10,263/6908</b>	<b>6000/1920</b>	<b>8800/4400</b>
<b>Biomarker</b>	<b>Oncotype DX</b>	<b>MammaPrint</b>	<b>Oncotype DX</b>
<b>Key eligibility criteria</b>	<b>ER+, HER2-, LN-</b>	<b>LN- or 1~3 LN+</b>	<b>ER+, HER2-, 1~3 LN+</b>
<b>Randomize group</b>	<b>RS 11~25</b>	<b>Discrepant risk between MammaPrint and Adjuvant! Online</b>	<b>RS &lt;25</b>
<b>Randomized treatment</b>	<b>Endocrine vs endocrine + chemotherapy</b>	<b>Treatment by clinical criteria (Adjuvant! Online) or gene expression (MammaPrint)</b>	<b>Endocrine vs endocrine + chemotherapy</b>
<b>Primary end point</b>	<b>Disease-free survival</b>	<b>Distant metastasis-free survival</b>	<b>Disease-free survival</b>
<b>Status</b>	<b>Completed accrual</b>	<b>Completed accrual</b>	<b>Accruing</b>

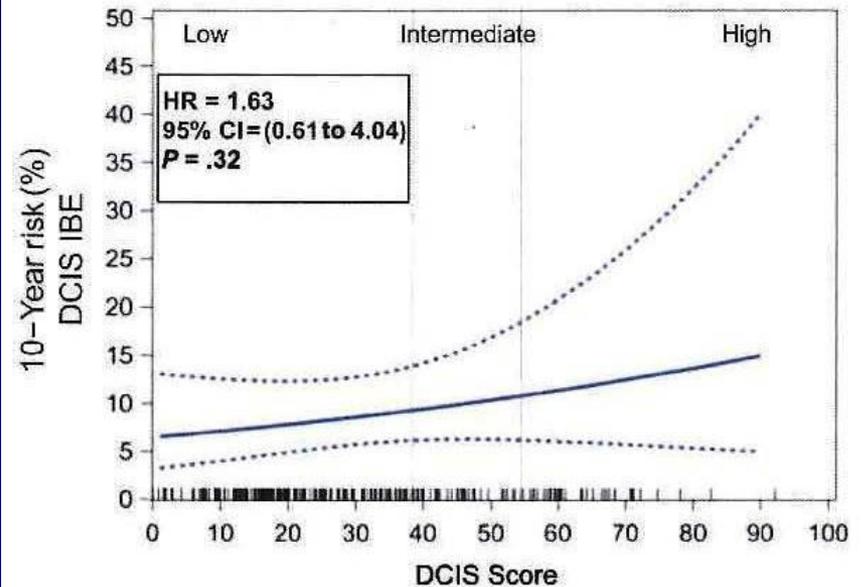
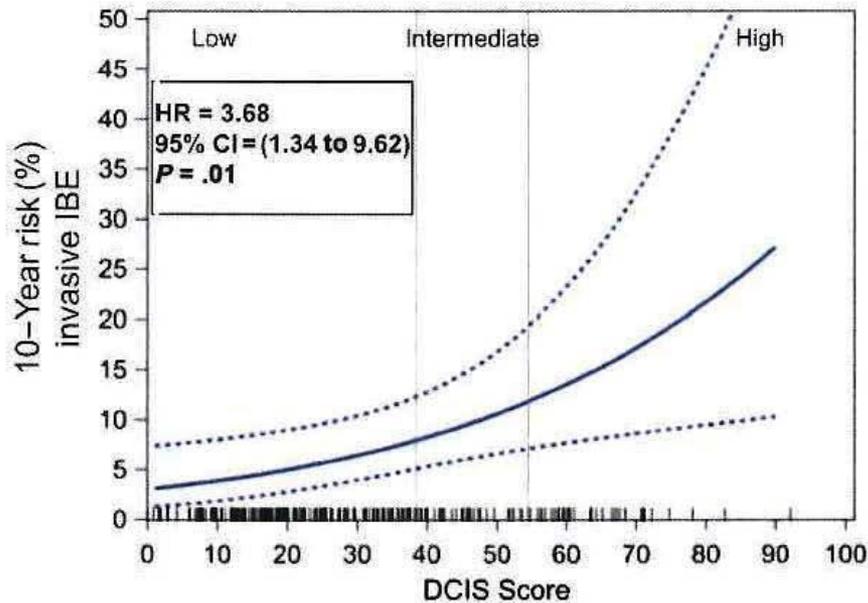
**Tissue banking is a common feature for all 3 trials.**

**ECOG indicates Eastern Cooperative Oncology Group; EORTC, European Organization of Research and Treatment of Cancer; ER, estrogen receptor; LN, lymph node; RS, recurrence score; SWOG, Southwest Oncology Group**

# **A multigene expression assay to predict local recurrence risk for ductal carcinoma in situ of the breast**

- The *Oncotype* DX breast cancer assay was performed for 327 patients with DCIS treated without radiation in the (ECOG) E5194 study**
- A DCIS score was established for each case**

# A multigene expression assay to predict local recurrence risk for ductal carcinoma in situ of the breast



# **A multigene expression assay to predict local recurrence risk for ductal carcinoma in situ of the breast**

- DCIS score predicts the risk of local recurrence and invasive local recurrence and provides information that complements traditional clinical and pathologic factors**

# **Molecular Characterization of Breast Cancer**

## **Future Directions**

- Emerging classification system with clinical relevance based on
  - Morphology**
  - Phenotype**
  - Molecular genetics****
- Routine provision of prognostic and predictive information**
- Identification of key therapeutic targets**

# **Molecular Characterization of Breast Cancer**

## **Future Directions**

- Translation of research technology into routine clinical practice**
- Robust validated and standardized routine methods**
- Establishment of quality control measures assuring the accuracy of pathology reporting**

# **Molecular Characterization of Breast Cancer**

## **Summary**

- Breast cancer includes at least five discrete, molecularly defined subgroups with distinct natural histories, drug sensitivities and specific molecular therapeutic targets.**
- Systemic treatments are estimated to reduce annual odds of recurrence by 50%-60% and annual odds of death by about 40%-50%**
- Combined modality therapy is the therapeutic approach of choice for management of early breast cancer**

# **Molecular Characterization of Breast Cancer**

## **Summary**

- As more advances are made in molecular genetics and more molecular targeted therapies become available, the responsibility of pathologists to find the right answers for the right patients will become greater**
- This approach will form the foundation of the delivery of quality, personalized breast health care**

